

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Dover Corporation (DOV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	S (partial)	12/26/2018	01/18/2019	\$67.61	П
	SP	Dover Corporation (DOV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	S (partial)	12/26/2018	01/18/2019	\$202.85	П
	SP	Home Depot, Inc. (HD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	P eneficiary IRA	12/31/2018	01/18/2019	\$686.21	П
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	P eneficiary IRA	12/24/2018	01/18/2019	\$72.80	П
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	P eneficiary IRA	12/26/2018	01/18/2019	\$21.32	П

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx.}}$

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 01/19/2019