



Filing ID #20011005

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu**Status:** Member**State/District:** CA27

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		OPTION ASSIGNMENT CLOSE [OT]	P	12/20/2018	12/20/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: OPTION ASSGINEMENT CLOSE					
		TLT 116.5 CALL [OT]	P	12/06/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 116.5 CALL [OT]	P	12/06/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 14 117 CALL [OT]	P	12/11/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 14 117.5 CALL [OT]	P	12/12/2018	12/12/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 14 118 CALL [OT]	P	12/17/2018	12/17/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 28 117.5 CALL [OT]	S	12/26/2018	12/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 28 118 CALL [OT]	S	12/04/2018	12/04/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 28 118 CALL [OT]	P	12/26/2018	12/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 28 119.5 PUT [OT]	S	12/21/2018	12/21/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT					
		TLT DEC 7 110 CALL [OT]	P	12/06/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 7 116 CALL [OT]	P	12/04/2018	12/04/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL					
		TLT DEC 7 116.5 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	P	12/06/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT JAN 11 119 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	12/26/2018	12/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT JAN 11 119 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	12/26/2018	12/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT JAN 4 117.5 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	12/11/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT JAN 4 118 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	12/12/2018	12/12/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT JAN 4 118.5 CALL [OT]  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	12/17/2018	12/17/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		TLT OPTION ASSIGNMENT [OT]  FILING STATUS: New	S	12/18/2018	12/18/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Date Type	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: OPTION ASSIGNMENT				

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- TD Ameritrade Investment Account  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 01/21/2019