



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member

State/District: NJ05

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Adobe Inc. (ADBE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/21/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Altria Group, Inc. (MO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/14/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Aspen Pharmacare Holdings plc Un-sponsored ADR (APNHY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/21/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Bayer Aktiengesellschaft American Depository Shares (BAYRY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Carnival Corporation (CCL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/17/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Churchill Downs, Incorporated (CHDN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/27/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Diplomat Pharmacy, Inc. (DPLO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management	S	12/18/2018	12/28/2018	\$15,001 - \$50,000	<input type="checkbox"/>
JT	Facebook, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management	S (partial)	12/12/2018	12/28/2018	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JT	Franklin Resources, Inc. (BEN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/10/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Fresenius Medical Care AG (FMS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 3	S	12/21/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>

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JT	General Dynamics Corporation (GD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/10/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Infineon Technologies AG (IFNNY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/21/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Installed Building Products, Inc. (IBP) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	J G C Corp (JGCCY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Kinder Morgan, Inc. (KMI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	MACOM Technology Solutions Holdings, Inc. (MTSI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	Matador Resources Company (MTDR) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley > UMA Active Assets Account	S	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/17/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call Options; Strike Price \$120, Expires 1/18/2019 COMMENTS: Bought 40 call option contracts to close out existing open position.	P	12/14/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>

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JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/13/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/06/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/10/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	12/11/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Netflix, Inc. (NFLX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management	S	12/12/2018	12/28/2018	\$15,001 - \$50,000	<input type="checkbox"/>
JT	Neurocrine Biosciences, Inc. (NBIX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/13/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Norfolk Southern Corporation (NSC) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/10/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	PACCAR Inc. (PCAR) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Pacific Premier Bancorp Inc (PPBI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	Patrick Industries, Inc. (PATK) [ST]	S	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: Morgan Stanley > UMA Active Assets Account					
JT	Philip Morris International Inc (PM) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
	PolyOne Corporation (POL) [ST]	S	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley > UMA Active Assets Account					
JT	PRA Group, Inc. (PRAA) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Schlumberger N.V. (SLB) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Schlumberger N.V. (SLB) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management					
JT	Texas Instruments Incorporated (TXN) [ST]	P	12/10/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Texas Instruments Incorporated (TXN) [ST]	P	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Tupperware Brands Corporation (TUP) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	United Parcel Service, Inc. (UPS) [ST]	S	12/12/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/19/2018	12/28/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Weibo Corporation - American Depository Share (WB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	12/18/2018	12/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Morgan Stanley
LOCATION: US
- o Morgan Stanley - Portfolio Management (Owner: JT)
LOCATION: US
- o Morgan Stanley - Select UMA Account # 2 (Owner: JT)
LOCATION: US
- o Morgan Stanley - Select UMA Account # 3 (Owner: JT)
LOCATION: US
- o Morgan Stanley ⇒ UMA Active Assets Account
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 01/04/2019