



Filing ID #20010848

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Carolyn B. Maloney

**Status:** Member

**State/District:** NY12

## TRANSACTIONS

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount               | Cap. Gains > \$200?      |
|----|-------|---|------------------|------------|-------------------|----------------------|--------------------------|
|    |       | BABYLON NY VARIOUS PURPOSES SER BDS 2018 G/O LTD B/E [GS]<br><br>FILING STATUS: New<br>DESCRIPTION: BABYLON NY VARIOUS PURPOSES SER BDS 2018 G/O LTD B/E. COUPON 4.00%, DUE 11-01-2032, CUSIP 05620PLSo | P                | 11/02/2018 | 12/13/2018        | \$15,001 - \$50,000  | <input type="checkbox"/> |
|    |       | CORTLAND NY PUB IMPT BDS 2018 G/O LTD B/E B/Q MAC [GS]<br><br>FILING STATUS: New<br>DESCRIPTION: CORTLAND NY PUB IMPT BDS 2018 G/O LTD B/E MAC, COUPON 3.50%, DUE 11-15-2033, CUSIP 220633TT8           | P                | 11/13/2018 | 12/13/2018        | \$15,001 - \$50,000  | <input type="checkbox"/> |
|    |       | HARRISON NY PUB IMPT BDS 2018 G/O LTD B/E [GS]<br><br>FILING STATUS: New<br>DESCRIPTION: HARRISON NY PUB IMPT BDS 2018 G/O LTD B/E, COUPON 3.75%, DUE 11-01-2038, CUSIP 415361AU8                       | P                | 11/06/2018 | 12/13/2018        | \$50,001 - \$100,000 | <input type="checkbox"/> |
|    |       | LOCKPORT TOWN NY PUB IMPT BDS 2018 G/O LTD B/E BAM [GS]<br><br>FILING STATUS: New<br>DESCRIPTION: LOCKPORT TOWN NY PUB IMPT BDS 2018 G/O LTD B/E BAM, COUPON 4.00%, DUE 12-01-2036, CUSIP 540057RDo     | P                | 11/15/2018 | 12/13/2018        | \$15,001 - \$50,000  | <input type="checkbox"/> |
|    |       | NEW YORK ST DORM AUTH SALES TAX REV ST SUPPORTED SER B GRP A [GS]<br><br>FILING STATUS: New<br>DESCRIPTION: NEW YORK ST DORM AUTH SALES TAX REV ST SUPPORTED SER B GRP A, COUPON 5.00%, DUE 03-15-      | P                | 11/27/2018 | 12/13/2018        | \$15,001 - \$50,000  | <input type="checkbox"/> |

| ID | Owner | Asset  | Transaction Type | Date       | Notification Date | Amount             | Cap. Gains > \$200?      |
|----|-------|--|------------------|------------|-------------------|--------------------|--------------------------|
|    |       | 2033, CUSIP 64990AEB7  |                  |            |                   |                    |                          |
|    |       | PORT AUTH NY & NJ CONS ONE HUNDRED SEVENTY NINTH REV B/E [GS]  | P                | 11/29/2018 | 12/13/2018        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New   |                  |            |                   |                    |                          |
|    |       | DESCRIPTION: PORT AUTH NY & NJ CONS ONE HUNDRED SEVENTY NINTH REV B/E, COUPON 5.00%, DUE 12-01-2038, CUSIP 73358WQY3 |                  |            |                   |                    |                          |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Carolyn B. Maloney , 12/17/2018