



Filing ID #20010823

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S	11/14/2018	11/20/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Brookfield Infrastructure Partners LP Limited Partnership Units (BIP) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S	11/14/2018	11/20/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	IHS Markit Ltd. - Common Shares (INFO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S	11/14/2018	11/20/2018	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 12/12/2018