



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Activision Blizzard, Inc (ATVI) [ST]	S	11/27/2018	12/03/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	P	12/03/2018	12/07/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Novartis AG (NVS) [ST]	P	11/29/2018	12/05/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Novartis AG (NVS) [ST]	P	11/30/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		UnitedHealth Group Incorporated Common Stock (UNH) [ST]	P	11/29/2018	12/05/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)

LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 12/11/2018