



Filing ID #20010807

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer

**Status:** Member

**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	adidas AG Sponsored ADR (ADDYY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/05/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/05/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	CA Inc. (CA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/05/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Csl Ltd SPONSORED ADR (CSLLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/30/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Csl Ltd SPONSORED ADR (CSLLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/29/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Daito Trust Construction Co Lt Sponsored ADR (DIFTY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/06/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/06/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Fastenal Company (FAST) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/12/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Fastenal Company (FAST) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/09/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/28/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Franklin Resources, Inc. (BEN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Franklin Resources, Inc. (BEN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/28/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	General Dynamics Corporation (GD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/06/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	L'Air Liquide Ord American Depositary Shares (AIQUY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/29/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Linde plc Ordinary Share (LIN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/29/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call option; Strike Price \$117; Expires 12/14/2018 COMMENTS: Sold covered call option	S	11/28/2018	11/28/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/28/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/28/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Norfolk Southern Corporation (NSC) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Texas Instruments Incorporated (TXN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/27/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>
JT	United Parcel Service, Inc. (UPS) [ST]	P	11/06/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>

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		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		WPP plc American Depositary Shares (WPP) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/06/2018	12/11/2018	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- Morgan Stanley - Portfolio Management (Owner: JT)  
LOCATION: US
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 12/13/2018