



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	12/03/2018	12/09/2018	\$826.80	<input type="checkbox"/>
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	12/04/2018	12/09/2018	\$658.03	<input type="checkbox"/>
SP	Equifax, Inc. (EFX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	11/20/2018	11/26/2018	\$805.35	<input type="checkbox"/>
SP	iShares Core MSCI Emerging Markets ETF (IEMG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	12/03/2018	12/09/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Novartis AG (NVS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/29/2018	12/05/2018	\$816.61	<input type="checkbox"/>
SP	Novartis AG (NVS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/30/2018	12/06/2018	\$363.90	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	12/06/2018	12/09/2018	\$195.09	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 12/09/2018