



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Air Products and Chemicals, Inc. (APD) [ST]		P	12/03/2018	12/09/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Air Products and Chemicals, Inc. (APD) [ST]		P	12/04/2018	12/09/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Equifax, Inc. (EFX) [ST]		S	11/20/2018	11/26/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		P	12/03/2018	12/09/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Novartis AG (NVS) [ST]		P	11/29/2018	12/05/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Novartis AG (NVS) [ST]		P	11/30/2018	12/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	12/06/2018	12/09/2018	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 12/09/2018