



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. David A. Trott**Status:** Member**State/District:** MI11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		BOFA FINANCE LLC LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA, 0% STRUCTURED NOTE DUE 10/27/2020 [CS]	P	11/14/2018	11/15/2018	\$250,001 - \$500,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Trott Family Investment Company LLC</p> <p>DESCRIPTION: Structured Note</p>					
		Energy Transfer LP Common Units (ET) [ST]	P	11/01/2018	11/02/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>DESCRIPTION: Publicly Traded Master Limited Partnership Interest</p>					
		EQGP Holdings, LP Common Units representing limited partner interests (EQGP) [ST]	S (partial)	11/30/2018	12/01/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>DESCRIPTION: Publicly Traded Master Limited Partnership Interest.</p>					
		JENISON MICH PUB SCHS GO 5% 05/01/23 MN - MUNI BOND [GS]	P	11/06/2018	11/07/2018	\$100,001 - \$250,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC</p>					
		JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W	P	11/27/2018	11/28/2018	\$100,001 - \$250,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		<p><b>BUFFER 0% STRUCTURED NOTE</b>  <b>DUE 02/20/2020 [CS]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Trott Family Investment Company LLC  DESCRIPTION: Structured Note.</p>					
	JPMORGAN CHASE & CO.	<p><b>LINKED TO S&amp;P 500 INDEX</b>  <b>BUFFERED CAPPED BETA, 0%</b>  <b>STRUCTURED NOTE DUE</b>  <b>01/22/2021 [CS]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Trott Family Investment Company LLC  DESCRIPTION: Structured Note.</p>	P	11/20/2018	11/21/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	JPMORGAN CHASE & CO.	<p><b>LINKED TO S&amp;P 500 INDEX</b>  <b>CNTNGNT CPN W BUFFER, 0%</b>  <b>STRUCTURED NOTE DUE</b>  <b>04/22/2020 [CS]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Trott Family Investment Company LLC  DESCRIPTION: Structured Note</p>	P	11/01/2018	11/02/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	PUT/XSP @ 258 EXP 12/14/2018 -	<p><b>CBOE MINI SPX INDEX [OT]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC  LOCATION: US  DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>	S (partial)	11/20/2018	11/21/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	PUT/XSP @ 266 EXP 12/28/2018 -	<p><b>CBOE MINI SPX INDEX [OT]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC  LOCATION: US  DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>	S (partial)	11/14/2018	11/15/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	PUT/XSP @ 279 EXP 11/16/2018 -	<p><b>CBOE MINI SPX INDEX [OT]</b></p> <p>FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND</p>	P	11/14/2018	11/15/2018	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
		PUT/XSP @ 281 EXP 11/23/2018 - P CBOE MINI SPX INDEX [OT]		11/20/2018	11/21/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
		THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER, 0% STRUCTURED NOTE DUE 11/25/2020 [CS]	P	11/07/2018	11/08/2018	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note.					
		Valero Energy Partners LP Common Units representing limited partner interests (VLP) [ST]	S (partial)	11/14/2018	11/15/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: Publicly Traded Master Limited Partnership Interest					
		Valero Energy Partners LP Common Units representing limited partner interests (VLP) [ST]	S (partial)	11/20/2018	11/21/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: Publicly Traded Master Limited Partnership Interest.					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE

SHOULD NOT BE ADDED TO THE OVERALL TOTAL.

LOCATION: US

- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)

LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David A. Trott , 12/07/2018