



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. K. Michael Conaway

Status: Member

State/District: TX11

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	American Electric Power Company, Inc. (AEP) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Becton, Dickinson and Company (BDX) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	DowDuPont Inc. (DWDP) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	First Trust DJ Internet Index Fund (FDN) [ST] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Home Depot, Inc. (HD) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Invesco QQQ Trust, Series 1 (QQQ) [ST] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Northrop Grumman Corporation (NOC) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Pfizer, Inc. (PFE) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 Redemption	S	11/21/2018	11/21/2018	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP	Raytheon Company (RTN) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20 [OT] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20	P	11/29/2018	12/06/2018	\$100,001 - \$250,000	<input type="checkbox"/>
SP	SP500 CLIRNS ISSUER BNS CAP 10.96% SV 2,737.76 DUE 01/31/20 [OT] FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRNS ISSUER BNS CAP 10.96% SV 2,737.76 DUE 01/31/20	P	11/29/2018	12/06/2018	\$50,001 - \$100,000	<input type="checkbox"/>
SP	SPDR Dow Jones Industrial Average ETF (DIA) [ST] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$15,001 - \$50,000	<input type="checkbox"/>
SP	SPDR S&P 500 (SPY) [ST] FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch)	P	11/21/2018	11/26/2018	\$15,001 - \$50,000	<input type="checkbox"/>
SP	SPX/SX5E STEPUP ISS HSBC STEP 22.80% SV 100.00 DUE 11/23/18 [OT] FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) LOCATION: US DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80% SV 100.00 DUE 11/23/18	S	11/23/2018	11/23/2018	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP	Stryker Corporation (SYK) [ST] FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S (partial)	11/21/2018	11/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 [OT]	S	11/26/2018	11/26/2018	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 Redemption					
SP	SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21 [OT]	P	11/29/2018	12/07/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21					
SP	United Technologies Corporation (UTX) [ST]	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Verizon Communications Inc. (VZ) [ST]	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Walmart Inc. (WMT) [ST]	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Walt Disney Company (DIS) [ST]	P	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Waste Management, Inc. (WM) [ST]	S (partial)	11/02/2018	11/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o SP M37 (Merrill Lynch) (Owner: SP)
LOCATION: US
- o SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US

- o SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 12/05/2018