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### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

# **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
2000044477	SP	IHS Markit Ltd Common Shares (INFO) [ST]	S (partial)	11/12/2018	11/19/2018	\$1,001 - \$15,000	
		FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman	- Individual				

<sup>\*</sup> For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

# ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) Location: US

# INITIAL PUBLIC OFFERINGS

C Yes No

# **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 11/20/2018