

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Aptiv PLC Ordinary Shares (APTV) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be		10/29/2018	11/05/2018	\$1,001 - \$15,000	
	SP	Universal Display Corporation (OLED) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be	P eneficiary IRA	11/02/2018	11/09/2018	\$201.76	F

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes
No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 11/17/2018