



Filing ID #20010607

PERIODIC TRANSACTION REPORT






Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David A. Trott**Status:** Member**State/District:** MI11

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|---|-------|--|------------------|------------|-------------------|-----------------------|--------------------------|
| | | BNP PARIBAS LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 09/11/2020 [CS] | P | 10/09/2018 | 10/10/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note. | | | | | | | |
| | | BOFA FINANCE LLC LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 01/23/2020 [CS] | P | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | | | | | | | |
| | | CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 05/28/2020 [CS] | S | 10/16/2018 | 10/17/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note. | | | | | | | |
| | | CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 | P | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|------------------|------------|-------------------|-----------------------|---|
| | | INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 06/22/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | | | | | |
| | | CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER, 0% STRUCTURED NOTE DUE 02/20/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note. | P | 10/05/2018 | 10/06/2018 | \$50,001 - \$100,000 |  |
| | | CITIGROUP INC. LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 04/22/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | P | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 |  |
| | | CONN ST GO 5% 06/01/22 JD - MUNI BOND [GS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | S | 10/24/2018 | 10/25/2018 | \$250,001 - \$500,000 |  |
| | | CREDIT SUISSE AG LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 03/13/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note. | P | 10/05/2018 | 10/06/2018 | \$250,001 - \$500,000 |  |
| | | CREDIT SUISSE AG LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 03/13/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | S (partial) | 10/16/2018 | 10/17/2018 | \$50,001 - \$100,000 |  |

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|--|------------------|------------|-------------------|-----------------------|-------------------------------------|
| | GRAND RAPIDS MICH CMNTY GO 5% 05/01/20 MN - MUNI BOND [GS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | S (partial) | 10/24/2018 | 10/25/2018 | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| | HASLETT MICH PUB SCH DIST GO 5% 05/01/26 MN - MUNI BOND [GS] FILING STATUS: New | P | 10/04/2018 | 10/05/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | JACKSONVILLE FLA SPL REV REV 5% 10/01/25 AO - MUNI BOND [GS] FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC | S | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | JPMORGAN CHASE & CO. LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 11/20/2019 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | P | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | KALAMAZOO MICH PUB SCHS GO 5% 05/01/21 MN - MUNI BOND [GS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | S (partial) | 10/24/2018 | 10/25/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | MADISON WIS GO 4% 10/01/25 AO - MUNI BOND [GS] FILING STATUS: New | P | 10/04/2018 | 10/05/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| | MATTAWAN MICH CONS SCH DIST GO 5% 05/01/25 MN - MUNI BOND [GS] FILING STATUS: New | P | 10/17/2018 | 10/18/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| | MICHIGAN FIN AUTH REV REV | S (partial) | 10/24/2018 | 10/25/2018 | \$100,001 - | <input type="checkbox"/> |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|------------------|------------|-------------------|-----------------------|--------------------------|
| | | 5% 10/01/20 AO - MUNI BOND [GS] | | | | \$250,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | | | | | |
| | | PLYMOUTH-CANTON MICH CMNTY SCH GO 5% 05/01/23 MN - MUNI BOND [GS] | P | 10/22/2018 | 10/23/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC | | | | | |
| | | PUT/XSP @ 255 EXP 12/07/2018 - CBOE MINI SPX INDEX [OT] | S (partial) | 10/30/2018 | 10/31/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | | | | | |
| | | PUT/XSP @ 260 EXP 01/18/2019 - CBOE MINI SPX INDEX [OT] | S (partial) | 10/23/2018 | 10/24/2018 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | | | | | |
| | | PUT/XSP @ 268 EXP 12/21/2018- CBOE MINI SPX INDEX [OT] | S (partial) | 10/17/2018 | 10/18/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | | | | | |
| | | PUT/XSP @ 276 EXP 10/19/2018 - CBOE MINI SPX INDEX [OT] | P | 10/17/2018 | 10/18/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | | | | | |
| | | PUT/XSP @ 281 EXP 11/23/2018 - | S (partial) | 10/04/2018 | 10/05/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |

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| | | CBOE MINI SPX INDEX [OT] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | | | | | |
| | | PUT/XSP @ 283 EXP 10/26/2018 - CBOE MINI SPX INDEX [OT] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | P | 10/23/2018 | 10/24/2018 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | | PUT/XSP @ 286 EXP 11/02/2018 - CBOE MINI SPX INDEX [OT] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP. | P | 10/30/2018 | 10/31/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| | | SOCIETE GENERALE LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 07/11/2019 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | S (partial) | 10/16/2018 | 10/17/2018 | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| | | THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 10/21/2020 [CS] FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | P | 10/18/2018 | 10/19/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | | TORONTO DOMINION BANK LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA, 2901.52 STRUCTURED NOTE DUE 06/03/2020 [CS] | S (partial) | 10/16/2018 | 10/17/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |

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|----|---|------------------|------------|-------------------|-------------------------|--------------------------|
| | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note | | | | | |
| | UBS AG LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 06/18/2020 [CS] | P | 10/16/2018 | 10/17/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note. | | | | | |
| | UNIVERSITY MICH UNIV REVS REV 5% 04/01/23 AO - MUNI BOND [GS] | P | 10/03/2018 | 10/04/2018 | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC | | | | | |
| | Valero Energy Partners LP Common Units representing limited partner interests (VLP) [ST] | S (partial) | 10/23/2018 | 10/24/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | FILING STATUS: New DESCRIPTION: Publicly Traded Master Limited Partnership Interest | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)
LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David A. Trott , 11/06/2018