



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. David A. Trott

**Status:** Member

**State/District:** MI11

## TRANSACTIONS

ID	Owner Asset	Transaction Date Type	Notification Date	Amount	Cap. Gains > \$200?
	BNP PARIBAS LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 09/11/2020 [CS]	P	10/09/2018	10/10/2018	\$50,001 - \$100,000
		FILING STATUS: New	SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC	DESCRIPTION: Structured Note.	
	BOFA FINANCE LLC LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 01/23/2020 [CS]	P	10/18/2018	10/19/2018	\$100,001 - \$250,000
		FILING STATUS: New	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC	DESCRIPTION: Structured Note	
	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 05/28/2020 [CS]	S	10/16/2018	10/17/2018	\$250,001 - \$500,000
		FILING STATUS: New	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC	DESCRIPTION: Structured Note	
	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500	P	10/18/2018	10/19/2018	\$100,001 - \$250,000

ID	Owner Asset	Transaction Date Type	Notification Date Amount	Cap. Gains > \$200?
	INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 06/22/2020 [CS]			
	FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC  DESCRIPTION: Structured Note			
	CANADIAN IMPERIAL BANK OF P COMM LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER, 0% STRUCTURED NOTE DUE 02/20/2020 [CS]	10/05/2018 10/06/2018	\$50,001 - \$100,000	
	FILING STATUS: New  SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC  DESCRIPTION: Structured Note.			
	CITIGROUP INC. LINKED TO S&P P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 04/22/2020 [CS]	10/18/2018 10/19/2018	\$100,001 - \$250,000	
	FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC  DESCRIPTION: Structured Note			
	CONN ST GO 5% 06/01/22 JD - S MUNI BOND [GS]	10/24/2018 10/25/2018	\$250,001 - \$500,000	
	FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC			
	CREDIT SUISSE AG LINKED TO P S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 03/13/2020 [CS]	10/05/2018 10/06/2018	\$250,001 - \$500,000	
	FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC  DESCRIPTION: Structured Note.			
	CREDIT SUISSE AG LINKED TO S (partial) S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 03/13/2020 [CS]	10/16/2018 10/17/2018	\$50,001 - \$100,000	
	FILING STATUS: New  SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND			

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > <b>\$200?</b>
			THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC	
			DESCRIPTION: Structured Note	
	CREDIT SUISSE AG LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 08/20/2020 [CS]	P	10/18/2018 10/19/2018	\$100,001 - \$250,000
			FILING STATUS: New	
			SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC	
			DESCRIPTION: Structured Note	
	CREDIT SUISSE AG LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 11/20/2019 [CS]	P	10/23/2018 10/24/2018	\$50,001 - \$100,000
			FILING STATUS: New	
			SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC	
			DESCRIPTION: Structured Note	
	DOWAGIAC MICH UN SCH DIST GO 4% 05/01/23 MN - MUNI BOND [GS]	P	10/01/2018 10/02/2018	\$250,001 - \$500,000
			FILING STATUS: New	
			SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC	
	Energy Transfer LP Common Units (ET) [ST]	E	10/19/2018 10/20/2018	\$100,001 - \$250,000
			FILING STATUS: New	
			DESCRIPTION: Publicly Traded Master Limited Partnership Interest (formerly known as Energy Transfer Equity, L.P. - symbol: ETE). Merger of Energy Transfer Equity LP (ETE) with Energy Transfer Partners LP (ETP).	
	Energy Transfer Partners, L.P. Common Units representing limited partner interests (ETP) [ST]	E	10/19/2018 10/20/2018	\$100,001 - \$250,000
			FILING STATUS: New	
			DESCRIPTION: Publicly Traded Master Limited Partnership Interest. Merger of Energy Transfer Equity LP (ETE) with Energy Transfer Partners LP (ETP).	
	EQM Midstream Partners, LP Common Units representing Limited Partner Interests (EQM) [ST]	P	10/23/2018 10/24/2018	\$1,001 - \$15,000
			FILING STATUS: New	
			DESCRIPTION: Publicly Traded Master Limited Partnership Interest	

ID	Owner Asset	Transaction Date Type	Notification Date	Amount	Cap. Gains > \$200?
	GRAND RAPIDS MICH CMNTY GO 5% 05/01/20 MN - MUNI BOND [GS]	S (partial)	10/24/2018	10/25/2018	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				<input checked="" type="checkbox"/>
	HASLETT MICH PUB SCH DIST GO 5% 05/01/26 MN - MUNI BOND [GS]	P	10/04/2018	10/05/2018	\$100,001 - \$250,000
	FILING STATUS: New				<input type="checkbox"/>
	JACKSONVILLE FLA SPL REV REV 5% 10/01/25 AO - MUNI BOND [GS]	S	10/18/2018	10/19/2018	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING Of: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC				<input type="checkbox"/>
	JPMORGAN CHASE & CO. LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 11/20/2019 [CS]	P	10/18/2018	10/19/2018	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note				<input type="checkbox"/>
	KALAMAZOO MICH PUB SCHS GO 5% 05/01/21 MN - MUNI BOND [GS]	S (partial)	10/24/2018	10/25/2018	\$250,001 - \$500,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				<input type="checkbox"/>
	MADISON WIS GO 4% 10/01/25 AO - MUNI BOND [GS]	P	10/04/2018	10/05/2018	\$50,001 - \$100,000
	FILING STATUS: New				<input type="checkbox"/>
	MATTAWAN MICH CONS SCH DIST GO 5% 05/01/25 MN - MUNI BOND [GS]	P	10/17/2018	10/18/2018	\$50,001 - \$100,000
	FILING STATUS: New				<input type="checkbox"/>
	MICHIGAN FIN AUTH REV REV	S (partial)	10/24/2018	10/25/2018	\$100,001 -

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?
	5% 10/01/20 AO - MUNI BOND [GS]		\$250,000	
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC			
	PLYMOUTH-CANTON MICH CMNTY SCH GO 5% 05/01/23 MN - MUNI BOND [GS]	P	10/22/2018 10/23/2018	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING Of: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC			
	PUT/XSP @ 255 EXP 12/07/2018 - S (partial) CBOE MINI SPX INDEX [OT]		10/30/2018 10/31/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.			
	PUT/XSP @ 260 EXP 01/18/2019 - S (partial) CBOE MINI SPX INDEX [OT]		10/23/2018 10/24/2018	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.			
	PUT/XSP @ 268 EXP 12/21/2018- S (partial) CBOE MINI SPX INDEX [OT]		10/17/2018 10/18/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.			
	PUT/XSP @ 276 EXP 10/19/2018 - P CBOE MINI SPX INDEX [OT]		10/17/2018 10/18/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING Of: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.			
	PUT/XSP @ 281 EXP 11/23/2018 - S (partial)		10/04/2018 10/05/2018	\$1,001 - \$15,000

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > <b>\$200?</b>	
	CBOE MINI SPX INDEX [OT]				
	FILING STATUS: New				
	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
	LOCATION: US				
	DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.				
	PUT/XSP @ 283 EXP 10/26/2018 P - CBOE MINI SPX INDEX [OT]	10/23/2018 10/24/2018	\$15,001 - \$50,000	<input type="checkbox"/>	
	FILING STATUS: New				
	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
	LOCATION: US				
	DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.				
	PUT/XSP @ 286 EXP 11/02/2018 - P CBOE MINI SPX INDEX [OT]	10/30/2018 10/31/2018	\$50,001 - \$100,000	<input type="checkbox"/>	
	FILING STATUS: New				
	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
	LOCATION: US				
	DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.				
	SOCIETE GENERALE LINKED TO S (partial) S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 07/11/2019 [CS]	10/16/2018 10/17/2018	\$100,001 - \$250,000	<input checked="" type="checkbox"/>	
	FILING STATUS: New				
	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
	DESCRIPTION: Structured Note				
	THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 10/21/2020 [CS]	P	10/18/2018 10/19/2018	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New				
	SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
	DESCRIPTION: Structured Note				
	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA, 2901.52 STRUCTURED NOTE DUE 06/03/2020 [CS]	S (partial)	10/16/2018 10/17/2018	\$250,001 - \$500,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?		
FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note						
	UBS AG LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER 0% STRUCTURED NOTE DUE 06/18/2020 [CS]	P	10/16/2018	10/17/2018	\$50,001 - \$100,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note.						<input type="checkbox"/>
	UNIVERSITY MICH UNIV REV REV 5% 04/01/23 AO - MUNI BOND [GS]	P	10/03/2018	10/04/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC						<input type="checkbox"/>
	Valero Energy Partners LP Common Units representing limited partner interests (VLP) [ST]	S (partial)	10/23/2018	10/24/2018	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New DESCRIPTION: Publicly Traded Master Limited Partnership Interest						<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David A. Trott , 11/06/2018