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## FILER INFORMATION

Name: Hon. Roger W. Marshall

Status: Member State/District: KS01

## **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	DC	Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST]	S	10/10/2018	11/02/2018	\$1,001 - \$15,000	П
		FILING STATUS: New					
		DESCRIPTION: DC#2					

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

## INITIAL PUBLIC OFFERINGS

© Yes © No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Roger W. Marshall, 11/02/2018