

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

| Name:           | Hon. Thomas MacArthur |
|-----------------|-----------------------|
| Status:         | Member                |
| State/District: | NJ03                  |

#### TRANSACTIONS

| ID | Owner | Asset  | Transaction<br>Type          | Date                 | Notification<br>Date | Amount                 | Cap.<br>Gains ><br>\$200? |
|----|-------|--|------------------------------|----------------------|----------------------|------------------------|---------------------------|
|    | JT    | Accenture plc Class A Ordinary<br>Shares (ACN) [ST]<br>Filing Status: New<br>Subholding OF: Thomas C MacArthur a                               | P<br>nd Deborah A Mac        | ,                    | 12/01/2018           | \$1,001 - \$15,000     | E                         |
|    | JT    | ACS Actividades De Construccion Y<br>Servicios SA Unsponsored ADR<br>(ACSAY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a |                              |                      | 11/01/2018           | \$1,001 - \$15,000     | Π                         |
|    | JT    | Arcelor Mittal NY Registry Shares<br>NEW (MT) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a                                | P<br>nd Deborah A Mac        |                      | 11/01/2018           | \$1,001 - \$15,000     | E                         |
|    | JT    | BAE SYSTEMS plc Sponsored ADR<br>(BAESY) [ST]<br>Filing Status: New<br>Subholding OF: Thomas C MacArthur a                                     |                              | 10/17/2018<br>Arthur | 11/01/2018           | \$1,001 - \$15,000     | E                         |
|    | JT    | Bank OZK (OZK) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | <b>S</b><br>nd Deborah A Mac | 10/19/2018<br>Arthur | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    | JT    | BHP Group PlcSponsored ADR<br>(BBL) [ST]   | Р                            | 11/20/2018           | 12/01/2018           | \$15,001 -<br>\$50,000 |                           |

| ID | Owner | Asset   | Transaction<br>Type | Date          | Notification<br>Date | Amount                 | Cap.<br>Gains ><br>\$200? |
|----|-------|---|---------------------|---------------|----------------------|------------------------|---------------------------|
|    |       | FILING STATUS: New  |                     |               |                      |                        |                           |
|    |       | SUBHOLDING OF: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | JT    | Canadian Natural Resources<br>Limited (CNQ) [ST]  | S                   | 10/10/2018    | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    |       | Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | JT    | Canadian Natural Resources<br>Limited (CNQ) [ST]  | S (partial)         | 10/05/2018    | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    |       | Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | JT    | Canadian Natural Resources<br>Limited (CNQ) [ST]  | S (partial)         | 10/08/2018    | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    |       | Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | JT    | Canadian Natural Resources<br>Limited (CNQ) [ST]  | S (partial)         | 10/09/2018    | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    |       | Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | JT    | Chevron Corporation (CVX) [ST]  | Р                   | 10/09/2018    | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    |       | Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | nd Deborah A Mac    | Arthur        |                      |                        |                           |
|    | DC    | Chevron Corporation (CVX) [ST]  | Р                   | 10/09/2018    | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    |       | Filing Status: New<br>Subholding Of: MacArthur Family 2008  | 8 Irr Tr FBO Isabe  | lla MacArthur |                      |                        |                           |
|    | JT    | CK HUTCHISON HLDGS LTD<br>UNSPONSORED ADR [OT]  | S                   | 11/02/2018    | 12/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a<br>Location: US<br>Description: CKHUY - Stock not in data |                     | Arthur        |                      |                        |                           |
|    | JT    | CK Hutchison Holdings LTD ADR<br>[OT]<br>FILING STATUS: New   | Р                   | 10/17/2018    | 11/01/2018           | \$1,001 - \$15,000     | Π                         |
|    |       | SUBHOLDING OF: Thomas C MacArthur a<br>Location: US<br>Description: ADR not in database - CKH                       |                     | Arthur        |                      |                        |                           |
|    |       |   |                     |               |                      |                        |                           |

| ID | Owner | Asset  | Transaction<br>Type                    | Date                        | Notification<br>Date | Amount                   | Cap.<br>Gains ><br>\$200? |
|----|-------|--|--|-----------------------------|----------------------|--------------------------|---------------------------|
|    | JT    | Colgate-Palmolive Company (CL)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a                                    | <b>S</b><br>nd Deborah A Mac           | 11/06/2018<br>Arthur        | 12/01/2018           | \$100,001 -<br>\$250,000 | Π                         |
|    | DC    | Colgate-Palmolive Company (CL)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                                   | S<br>8 Irr Tr FBO Isabe                | 11/06/2018<br>lla MacArthur | 12/01/2018           | \$1,001 - \$15,000       | Г                         |
|    | DC    | Colgate-Palmolive Company (CL)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                                   | <b>S</b><br>8 Irr Tr FBO David         | 11/06/2018<br>MacArthur     | 12/01/2018           | \$1,001 - \$15,000       | Π                         |
|    | JT    | Core Laboratories N.V. (CLB) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | <b>S (partial)</b><br>nd Deborah A Mac | 10/23/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000       | Π                         |
|    | JT    | Core Laboratories N.V. (CLB) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | <b>S (partial)</b><br>nd Deborah A Mac | 10/24/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000       |                           |
|    | JT    | Crane Co. (CR) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | <b>S (partial)</b><br>nd Deborah A Mac | <b>10/09/2018</b><br>Arthur | 11/01/2018           | \$1,001 - \$15,000       | V                         |
|    | JT    | Ctrip.com International, Ltd<br>American Depositary Shares<br>(CTRP) [ST]<br>Filing Status: New<br>Subholding OF: Thomas C MacArthur a | P<br>nd Deborah A Mac                  | 10/17/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000       | E                         |
|    | JT    | Ctrip.com International, Ltd<br>American Depositary Shares<br>(CTRP) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a | <b>S (partial)</b><br>nd Deborah A Mac | 11/07/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000       | Е                         |
|    | JT    | Ctrip.com International, Ltd<br>American Depositary Shares<br>(CTRP) [ST]<br>FILING STATUS: New  | S (partial)                            | 11/20/2018                  | 12/01/2018           | \$1,001 - \$15,000       |                           |

| SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   JT Ctrip.com International, Ltd S 11/21/2018 12/01/2018 \$1,001 - \$15,000   American Depositary Shares<br>(CTRP) [ST] FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur \$15,001 - \$15,000   JT DocuSign, Inc. (DOCU) [ST] P 10/23/2018 11/01/2018 \$15,001 - \$50,000   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur \$15,001 - \$50,000 \$50,000 \$10/17/2018 \$1,001 - \$15,000   JT Engie SPON ADR [OT] P 10/17/2018 \$1,001 - \$15,000 \$1000 - \$1000   JT Engie SPON ADR [OT] P 10/17/2018 \$1,001 - \$15,000 \$1000 - \$1000   JT Engie SPON ADR [OT] P 10/17/2018 \$1,001 - \$15,000 \$1000 - \$1000   JT Engie SPON ADR [OT] P 10/17/2018 \$1,001 - \$15,000 \$1000 - \$1000   JT Fanue Corporation Unsponsored P 10/17/2018 \$1,001 - \$15,000 \$1000 - \$1000 | ID | Owner | Asset   | Transaction<br>Type | Date          | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|--|----|-------|---|---------------------|---------------|----------------------|--------------------|---------------------------|
| American Depositary Shares<br>(CTRP) [ST]   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   JT DocuSign, Inc. (DOCU) [ST] P 10/23/2018 11/01/2018 \$15,001 -<br>\$50,000   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur Image: Spon ADR [OT] P 10/17/2018 11/01/2018 \$1,001 - \$15,000   JT Engie SPON ADR [OT] P 10/17/2018 11/01/2018 \$1,001 - \$15,000   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur<br>LOCATION: US<br>DESCRIPTION: ADR not in database - ENGIY Image: Spon ADR in the indatabase - ENGIY Image: Spon ADR in the indatabase - ENGIY   |    |       | SUBHOLDING OF: Thomas C MacArthur a                             | nd Deborah A Mac    | Arthur        |                      |                    |                           |
| JT DocuSign, Inc. (DOCU) [ST] P 10/23/2018 11/01/2018 \$15,001 - \$50,000   FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   JT Engie SPON ADR [OT] P 10/17/2018 11/01/2018 \$1,001 - \$15,000   FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur Subholding OF: Thomas C MacArthur and Deborah A MacArthur   JT Engie SPON ADR [OT] P 10/17/2018 11/01/2018 \$1,001 - \$15,000   JT Fanuc Corporation Unsponsored P 10/17/2018 11/01/2018 \$1,001 - \$15,000  |    | JT    | American Depositary Shares<br>(CTRP) [ST]<br>FILING STATUS: New |                     |               | 12/01/2018           | \$1,001 - \$15,000 |                           |
| FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur \$50,000   JT Engie SPON ADR [OT] P 10/17/2018 \$1,001 - \$15,000   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur<br>LOCATION: US<br>DESCRIPTION: ADR not in database - ENGIY Image: Comportion Unsponsored P 10/17/2018 \$1,001 - \$15,000  |    |       |   |                     |               |                      |                    | _                         |
| SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   JT Engie SPON ADR [OT] P 10/17/2018 11/01/2018 \$1,001 - \$15,000   FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur<br>LOCATION: US<br>DESCRIPTION: ADR not in database - ENGIY ID/17/2018 11/01/2018 \$1,001 - \$15,000   |    | JT    | DocuSign, Inc. (DOCU) [ST]                                      | Р                   | 10/23/2018    | 11/01/2018           |                    |                           |
| FILING STATUS: New   SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   LOCATION: US   DESCRIPTION: ADR not in database - ENGIY   JT Fanuc Corporation Unsponsored   P 10/17/2018 11/01/2018 \$1,001 - \$15,000   |    |       |   |                     |               |                      |                    |                           |
| SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur   LOCATION: US   DESCRIPTION: ADR not in database - ENGIY   JT Fanuc Corporation Unsponsored   P 10/17/2018 11/01/2018 \$1,001 - \$15,000  |    | JT    | Engie SPON ADR [OT]   | Р                   | 10/17/2018    | 11/01/2018           | \$1,001 - \$15,000 |                           |
|  |    |       | SUBHOLDING OF: Thomas C MacArthur a Location: US                |                     | Arthur        |                      |                    |                           |
|  |    | JT    |   | Р                   | 10/17/2018    | 11/01/2018           | \$1,001 - \$15,000 |                           |
| FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur  |    |       | FILING STATUS: New  | nd Deborah A Mac    | Arthur        |                      |                    |                           |
| JT Intel Corporation (INTC) [ST] P 10/09/2018 11/01/2018 \$15,001 -  |    | JT    | Intel Corporation (INTC) [ST]                                   | Р                   | 10/09/2018    | 11/01/2018           |                    |                           |
| \$50,000<br>Filing Status: New<br>Subholding OF: Thomas C MacArthur and Deborah A MacArthur  |    |       |   | nd Deborah A Mac    | Arthur        |                      | \$50,000           |                           |
| DC Intel Corporation (INTC) [ST] P 10/09/2018 11/01/2018 \$1,001 - \$15,000  |    | DC    | Intel Corporation (INTC) [ST]                                   | Р                   | 10/09/2018    | 11/01/2018           | \$1,001 - \$15,000 |                           |
| Filing Status: New<br>Subholding Of: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur   |    |       |   | 3 Irr Tr FBO Isabe  | lla MacArthur |                      |                    |                           |
| DC Intel Corporation (INTC) [ST] P 10/09/2018 11/01/2018 \$1,001 - \$15,000  |    | DC    | Intel Corporation (INTC) [ST]                                   | Р                   | 10/09/2018    | 11/01/2018           | \$1,001 - \$15,000 |                           |
| Filing Status: New<br>Subholding Of: MacArthur Family 2008 Irr Tr FBO David MacArthur  |    |       |   | 3 Irr Tr FBO David  | l MacArthur   |                      |                    |                           |
| JT International Consolidated Airlines P 11/13/2018 12/01/2018 \$1,001 - \$15,000 Group SA Sponsored American Depository Receipt (ICAGY) [ST]  |    | JT    | Group SA Sponsored American<br>Depository Receipt (ICAGY) [ST]  | Р                   | 11/13/2018    | 12/01/2018           | \$1,001 - \$15,000 |                           |
| FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur  |    |       |   | nd Deborah A Mac    | Arthur        |                      |                    |                           |
| JT International Consolidated Airlines P 11/14/2018 12/01/2018 \$1,001 - \$15,000  |    | JT    | International Consolidated Airlines                             | Р                   | 11/14/2018    | 12/01/2018           | \$1,001 - \$15,000 | Γ                         |

| ID | Owner | Asset   | Transaction<br>Type            | Date                        | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|---|--------------------------------|-----------------------------|----------------------|--------------------|---------------------------|
|    | JT    | Group SA Sponsored American<br>Depository Receipt (ICAGY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a<br>International Consolidated Airlines<br>Group SA Sponsored American<br>Depository Receipt (ICAGY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a | Р                              | 11/15/2018                  | 12/01/2018           | \$1,001 - \$15,000 |                           |
|    | JT    | International Consolidated Airlines<br>Group SA Sponsored American<br>Depository Receipt (ICAGY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a  |                                | <b>11/19/2018</b>           | 12/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | JT    | International Consolidated Airlines<br>Group SA Sponsored American<br>Depository Receipt (ICAGY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a  |                                | 11/16/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | DC    | Invesco Ltd (IVZ) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008  | <b>S</b><br>8 Irr Tr FBO David | 10/09/2018<br>l MacArthur   | 11/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | DC    | Invesco Ltd (IVZ) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008  | <b>S</b><br>8 Irr Tr FBO Isabe | 10/09/2018<br>lla MacArthur | 11/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | DC    | Invesco S&P 500 Equal Weight ETF<br>(RSP) [ST]<br>Filing Status: New<br>Subholding Of: Isabella MacArthur   | S (partial)                    | 11/28/2018                  | 12/01/2018           | \$1,001 - \$15,000 |                           |
|    | JT    | iShares Russell 1000 Growth ETF<br>(IWF) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a  | P<br>nd Deborah A Mae          | 10/02/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | JT    | iShares Russell 1000 Value ETF<br>(IWD) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur a   | <b>P</b><br>nd Deborah A Mae   | 10/02/2018<br>eArthur       | 11/01/2018           | \$1,001 - \$15,000 | Π                         |

| ID | Owner | Asset  | Transaction<br>Type                    | Date                        | Notification<br>Date | Amount                  | Cap.<br>Gains ><br>\$200? |
|----|-------|--|--|-----------------------------|----------------------|-------------------------|---------------------------|
|    |       | iShares Russell 1000 Value ETF<br>(IWD) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur II                                       | P                                      | 10/02/2018                  | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | DC    | JP Morgan Chase & Co. (JPM) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008   |  | 10/09/2018<br>lla MacArthur | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | DC    | JP Morgan Chase & Co. (JPM) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008   |  | 10/09/2018<br>l MacArthur   | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | JT    | Landstar System, Inc. (LSTR) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an  |  | 10/24/2018<br>Arthur        | 11/01/2018           | \$15,001 -<br>\$50,000  | П                         |
|    | JT    | Landstar System, Inc. (LSTR) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an  | <b>S (partial)</b><br>nd Deborah A Mae | 10/23/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | DC    | Linde plc Ordinary Share (LIN)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008<br>Description: Received for shares of Prax |  | 10/30/2018<br>lla MacArthur | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | DC    | Linde plc Ordinary Share (LIN)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008<br>Description: Received for shares of Prax |  | 10/30/2018<br>l MacArthur   | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | JT    | Linde plc Ordinary Share (LIN)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at<br>Description: Received for shares of Prax |  | 10/30/2018<br>Arthur        | 11/01/2018           | \$50,001 -<br>\$100,000 | Π                         |
|    | JT    | Lockheed Martin Corporation<br>(LMT) [ST]<br>Filing Status: New  | Р                                      | 11/06/2018                  | 12/01/2018           | \$15,001 -<br>\$50,000  | Π                         |

| ID | Owner | Asset  | Transaction<br>Type | Date        | Notification<br>Date | Amount                   | Cap.<br>Gains ><br>\$200? |
|----|-------|--|---------------------|-------------|----------------------|--------------------------|---------------------------|
|    |       | SUBHOLDING OF: Thomas C MacArthur a  | nd Deborah A Mac    | Arthur      |                      |                          |                           |
|    | DC    | Lockheed Martin Corporation<br>(LMT) [ST]<br>FILING STATUS: New                          | Р                   | 11/06/2018  | 12/01/2018           | \$1,001 - \$15,000       |                           |
|    |       | SUBHOLDING OF: MacArthur Family 2008   | 8 Irr Tr FBO David  | l MacArthur |                      |                          |                           |
|    | JT    | METROPOLITAN BOSTON TRAN<br>BE/R/ 5.25 070133 [GS]                                       | Р                   | 11/06/2018  | 12/01/2018           | \$100,001 -<br>\$250,000 |                           |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a<br>Description: Municipal bond | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | MIAMI-DADE CNTY FL AVIA SR A<br>RV BE/R/ 5.5 100118 [GS]<br>Filing Status: New           | S                   | 10/01/2018  | 11/01/2018           | \$50,001 -<br>\$100,000  | Γ                         |
|    |       | SUBHOLDING OF: Thomas C MacArthur a Description: Called muncipal bond                    | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | Murata Manufacturing Co Ltd<br>Unsponsored ADR (MRAAY) [ST]<br>Filing Status: New        | Р                   | 10/26/2018  | 11/01/2018           | \$15,001 -<br>\$50,000   |                           |
|    |       | SUBHOLDING OF: Thomas C MacArthur a  | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | Murata Manufacturing Co Ltd<br>Unsponsored ADR (MRAAY) [ST]                              | Р                   | 10/29/2018  | 11/01/2018           | \$15,001 -<br>\$50,000   | Π                         |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a                                | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | NEW JERSEY ST EDL FACS A SR<br>B RV BE/R/ 5 DUE 070135 [GS]                              | Р                   | 10/30/2018  | 11/01/2018           | \$100,001 -<br>\$250,000 |                           |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a<br>Description: Municipal bond | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | NEW JERSEY ST EDL FACS A SR<br>F RV BE/R/ 4 DUE 070131 [GS]                              | Р                   | 11/21/2018  | 12/01/2018           | \$50,001 -<br>\$100,000  |                           |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur a<br>DESCRIPTION: Municipal bond | nd Deborah A Mao    | Arthur      |                      |                          |                           |
|    | JT    | NEW JERSEY ST TPK AUTH T RV<br>BE/R/ 5 DUE 010133 [GS]<br>Filing Status: New             | S                   | 11/15/2018  | 12/01/2018           | \$100,001 -<br>\$250,000 |                           |
|    |       | SUBHOLDING OF: Thomas C MacArthur a  | nd Deborah A Mac    | Arthur      |                      |                          |                           |

| ID | Owner | Asset   | Transaction<br>Type                    | Date                        | Notification<br>Date | Amount                   | Cap.<br>Gains ><br>\$200? |
|----|-------|---|--|-----------------------------|----------------------|--------------------------|---------------------------|
|    | JT    | Nordea Bank AB Sponsored ADR<br>(NRBAY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur at  | P<br>nd Deborah A Mac                  | 10/10/2018<br>Arthur        | 11/01/2018           | \$15,001 -<br>\$50,000   | Ε                         |
|    | JT    | Nordea Bank AB Sponsored ADR<br>(NRBAY) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at  | <b>S</b><br>nd Deborah A Mac           | 10/17/2018<br>Arthur        | 11/01/2018           | \$15,001 -<br>\$50,000   |                           |
|    | JT    | OKLAHOMA TRANSN AUTH OK<br>SR A RV BE/R/ 5 DUE 010137 [GS]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur at<br>DESCRIPTION: Municipal Bond | P<br>nd Deborah A Mac                  | 11/15/2018<br>Arthur        | 12/01/2018           | \$100,001 -<br>\$250,000 | E                         |
|    | JT    | Philip Morris International Inc<br>(PM) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at  | <b>S (partial)</b><br>nd Deborah A Mac | 11/01/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000       |                           |
|    | DC    | Praxair, Inc. (PX) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: MacArthur Family 2008<br>DESCRIPTION: Exchanged for shares of Li                        |  | 10/30/2018<br>I MacArthur   | 11/01/2018           | \$1,001 - \$15,000       |                           |
|    | DC    | Praxair, Inc. (PX) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: MacArthur Family 2008<br>DESCRIPTION: Exchanged for shares of Li                        |  | 10/30/2018<br>lla MacArthur | 11/01/2018           | \$1,001 - \$15,000       | Π                         |
|    | JT    | Praxair, Inc. (PX) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at<br>Description: Exchanged for shares of Li                        |  | 10/30/2018<br>Arthur        | 11/01/2018           | \$50,001 -<br>\$100,000  |                           |
|    | JT    | Primerica, Inc. (PRI) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at  | <b>S (partial)</b><br>nd Deborah A Mac | 10/23/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000       | V                         |
|    | JT    | Primerica, Inc. (PRI) [ST]<br>Filing Status: New  | S (partial)                            | 10/24/2018                  | 11/01/2018           | \$1,001 - \$15,000       |                           |

| ID | Owner | Asset   | Transaction<br>Type                    | Date                  | Notification<br>Date | Amount                 | Cap.<br>Gains ><br>\$200? |
|----|-------|---|--|-----------------------|----------------------|------------------------|---------------------------|
|    |       | SUBHOLDING OF: Thomas C MacArthur as  | nd Deborah A Mao                       | Arthur                |                      |                        |                           |
|    | JT    | Primerica, Inc. (PRI) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at  | <b>S (partial)</b><br>nd Deborah A Mae | 10/31/2018<br>Arthur  | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    | JT    | RBC Bearings Incorporated (ROLL)<br>[ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur an  | -                                      | 10/10/2018<br>Arthur  | 11/01/2018           | \$1,001 - \$15,000     | V                         |
|    | JT    | RBC Bearings Incorporated (ROLL)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an  |  | 10/08/2018<br>cArthur | 11/01/2018           | \$1,001 - \$15,000     | Ε                         |
|    | JT    | RBC Bearings Incorporated (ROLL)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an  | -                                      | 10/09/2018<br>Arthur  | 11/01/2018           | \$1,001 - \$15,000     | V                         |
|    | JT    | Repsol S.A. Sponsored ADR<br>(REPYY) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an   | P<br>nd Deborah A Mac                  | 10/05/2018<br>eArthur | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    | JT    | Repsol S.A. Sponsored ADR<br>(REPYY) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at   | P<br>nd Deborah A Mae                  | <b>11/12/2018</b>     | 12/01/2018           | \$15,001 -<br>\$50,000 | Π                         |
|    | JT    | Royal Dutch Shell PLC Royal Dutch<br>Shell American Depositary Shares<br>(RDS.A) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur an |  | 10/17/2018<br>CArthur | 11/01/2018           | \$1,001 - \$15,000     | Ε                         |
|    | JT    | SAP SE ADS (SAP) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an   | P<br>nd Deborah A Mae                  | 11/07/2018<br>Arthur  | 12/01/2018           | \$1,001 - \$15,000     | Π                         |
|    | JT    | SAP SE ADS (SAP) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an   | <b>P</b><br>nd Deborah A Mao           | 11/08/2018<br>cArthur | 12/01/2018           | \$1,001 - \$15,000     | Π                         |

| ID | Owner | Asset   | Transaction<br>Type     | Date                        | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|---|-------------------------|-----------------------------|----------------------|--------------------|---------------------------|
|    | JT    | Schlumberger N.V. (SLB) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                            | P<br>nd Deborah A Mac   | 10/17/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000 | Ε                         |
|    | JT    | Secom Co Ltd American Depositary<br>Shares (SOMLY) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an |                         | 11/07/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | JT    | SiteOne Landscape Supply, Inc.<br>(SITE) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at           | P<br>nd Deborah A Mac   | 11/29/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | JT    | SiteOne Landscape Supply, Inc.<br>(SITE) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at           | P<br>nd Deborah A Mac   | 11/29/2018<br>Arthur        | 12/01/2018           | \$1,001 - \$15,000 |                           |
|    | JT    | SiteOne Landscape Supply, Inc.<br>(SITE) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at           | P<br>nd Deborah A Mac   | 10/10/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | JT    | SiteOne Landscape Supply, Inc.<br>(SITE) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at           | P<br>nd Deborah A Mac   | 10/08/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000 |                           |
|    | JT    | Smith & Nephew SNATS, Inc.<br>(SNN) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                | P<br>nd Deborah A Mac   | 10/17/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000 | E                         |
|    | DC    | SunTrust Banks, Inc. (STI) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                         | P<br>3 Irr Tr FBO Isabe | 10/09/2018<br>lla MacArthur | 11/01/2018           | \$1,001 - \$15,000 | Π                         |
|    | DC    | SunTrust Banks, Inc. (STI) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                         | P<br>3 Irr Tr FBO David | 10/09/2018<br>MacArthur     | 11/01/2018           | \$1,001 - \$15,000 |                           |

| ID | Owner | Asset  | Transaction<br>Type                      | Date                        | Notification<br>Date | Amount                  | Cap.<br>Gains ><br>\$200? |
|----|-------|--|--|-----------------------------|----------------------|-------------------------|---------------------------|
|    | JT    | Teradyne, Inc. (TER) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                                  | P<br>nd Deborah A Mac                    | 10/24/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | JT    | Texas Instruments Incorporated<br>(TXN) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at               | P<br>nd Deborah A Mac                    | <b>11/19/2018</b>           | 12/01/2018           | \$1,001 - \$15,000      | Π                         |
|    | JT    | Thor Industries, Inc. (THO) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at                           | P<br>nd Deborah A Mac                    | 10/24/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000      |                           |
|    | JT    | Toray Industries Inc ADR (TRYIY)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                   |  | 10/17/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000      | Γ                         |
|    | JT    | Union Pacific Corporation (UNP)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur at                    | <b>S (partial)</b><br>nd Deborah A Mac   | <b>11/06/2018</b><br>Arthur | 12/01/2018           | \$50,001 -<br>\$100,000 |                           |
|    | DC    | Union Pacific Corporation (UNP)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                    | <b>S (partial)</b><br>3 Irr Tr FBO David | 11/06/2018<br>MacArthur     | 12/01/2018           | \$1,001 - \$15,000      |                           |
|    | DC    | Union Pacific Corporation (UNP)<br>[ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008                    | <b>S (partial)</b><br>3 Irr Tr FBO Isabe | 11/06/2018<br>lla MacArthur | 12/01/2018           | \$1,001 - \$15,000      | V                         |
|    | DC    | UnitedHealth Group Incorporated<br>Common Stock (UNH) [ST]<br>Filing Status: New<br>Subholding Of: MacArthur Family 2008 | <b>S (partial)</b><br>3 Irr Tr FBO Isabe | 11/12/2018<br>lla MacArthur | 12/01/2018           | \$1,001 - \$15,000      |                           |
|    | DC    | UnitedHealth Group Incorporated<br>Common Stock (UNH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: MacArthur Family 2008 | <b>S (partial)</b><br>3 Irr Tr FBO David | 11/12/2018<br>MacArthur     | 12/01/2018           | \$1,001 - \$15,000      | V                         |
|    | JT    | UnitedHealth Group Incorporated  | S (partial)                              | 11/12/2018                  | 12/01/2018           | \$15,001 -              | $\checkmark$              |

| ID | Owner | Asset   | Transaction<br>Type     | Date                        | Notification<br>Date | Amount                 | Cap.<br>Gains ><br>\$200? |
|----|-------|---|-------------------------|-----------------------------|----------------------|------------------------|---------------------------|
|    |       | Common Stock (UNH) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                               | nd Deborah A Mac        | Arthur                      |                      | \$50,000               |                           |
|    | JT    | Vanguard FTSE Developed Markets<br>ETF (VEA) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an     |                         | 10/01/2018<br>eArthur       | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    | JT    | Vanguard FTSE Emerging Markets<br>ETF (VWO) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an      |                         | <b>10/01/2018</b>           | 11/01/2018           | \$1,001 - \$15,000     | Ε                         |
|    | JT    | Vanguard Mid-Cap Value ETF<br>(VOE) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur an              | P<br>nd Deborah A Mac   | 10/03/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000     | Π                         |
|    | DC    | Vanguard Short-Term Corporate<br>Bond ETF (VCSH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: MacArthur Family 2008 | P<br>3 Irr Tr FBO David | 10/19/2018<br>l MacArthur   | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    | DC    | Vanguard Short-Term Corporate<br>Bond ETF (VCSH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: MacArthur Family 2008 | P<br>3 Irr Tr FBO Isabe | 10/19/2018<br>lla MacArthur | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |
|    | JT    | Vanguard Small-Cap ETF (VB) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Thomas C MacArthur an                      |                         | 10/03/2018<br>Arthur:       | 11/01/2018           | \$1,001 - \$15,000     | Γ                         |
|    | JT    | Wabco Holdings Inc. (WBC) [ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                        | P<br>nd Deborah A Mac   | 10/31/2018<br>Arthur        | 11/01/2018           | \$1,001 - \$15,000     |                           |
|    | JT    | Williams Companies, Inc. (WMB)<br>[ST]<br>Filing Status: New<br>Subholding Of: Thomas C MacArthur an                | P<br>nd Deborah A Mac   | <b>10/10/2018</b>           | 11/01/2018           | \$15,001 -<br>\$50,000 |                           |

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

## ASSET CLASS DETAILS

- Isabella MacArthur (Owner: DC) LOCATION: US
- MacArthur Family 2008 Irr Tr FBO David MacArthur (Owner: DC)
- MacArthur Family 2008 Irr Tr FBO Isabella MacArthur (Owner: DC)
- Thomas C MacArthur and Deborah A MacArthur (Owner: JT) LOCATION: US
- Thomas C MacArthur IRA

### **INITIAL PUBLIC OFFERINGS**

Yes No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas MacArthur , 12/06/2018