

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Thomas J. Rooney |
|-----------------|-----------------------|
| Status: | Member |
| State/District: | FL17 |

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|--|------------|----------------------|--------------------------|---------------------------|
| | | 3M Company (MMM) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | P Delaware Trust | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | |
| | | Adobe Inc. (ADBE) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | S (partial) 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | V |
| | | Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] Filing Status: New Subholding OF: Patrick Rooney Sr 2015 | P 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | Π |
| | | AutoNation, Inc. (AN) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | S (partial) 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$15,001 - \$50,000 | Π |
| | | BlackRock Capital Investment Corporation (BKCC) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | P 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$100,001 - \$250,000 | Π |
| | | BrightView Holdings, Inc. (BV) [ST] Filing Status: New | Р | 09/20/2018 | 10/01/2018 | \$50,001 - \$100,000 | |

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|----|-------|---|-----------------------|------------|----------------------|------------------------|---------------------------|
| | | SUBHOLDING OF: Patrick Rooney Sr 2015 | 5 Delaware Trust | | | | |
| | | IPG Photonics Corporation (IPGP) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | Π |
| | | Royal Caribbean Cruises Ltd. (RCL) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | P 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | Π |
| | | Thor Industries, Inc. (THO) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | S 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$15,001 - \$50,000 | Π |
| | | Westrock Company (WRK) [ST] Filing Status: New Subholding Of: Patrick Rooney Sr 2015 | P 5 Delaware Trust | 09/20/2018 | 10/01/2018 | \$1,001 - \$15,000 | Π |

Asset Class Details

• Patrick Rooney Sr 2015 Delaware Trust DESCRIPTION: 12.5% contingent beneficial interest - Owns cash and marketable securities, reported on Schedule A at full value

INITIAL PUBLIC OFFERINGS

• Yes • No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas J. Rooney , 10/13/2018