



Filing ID #20010380

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Roger W. Marshall**Status:** Member**State/District:** KS01

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
DC		Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] FILING STATUS: New DESCRIPTION: DC#2	P	08/27/2018	10/01/2018	\$1,001 - \$15,000	<input type="checkbox"/>
DC		Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] FILING STATUS: New DESCRIPTION: DC#2	S	09/05/2018	10/01/2018	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Roger W. Marshall , 10/01/2018