

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Thomas J. Rooney

Status: Member **State/District:** FL17

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		BlackRock Capital Investment Corporation (BKCC) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	P 5 Delaware Trust	08/16/2018	09/01/2018	\$50,001 - \$100,000	
		CBRE Group Inc Common Stock Class A (CBRE) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	S (partial) 5 Delaware Trust	08/27/2018	09/01/2018	\$1,001 - \$15,000	V
		IPG Photonics Corporation (IPGP) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015		08/27/2018	09/01/2018	\$15,001 - \$50,000	
		Nike, Inc. (NKE) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	S (partial) 5 Delaware Trust	08/27/2018	09/01/2018	\$1,001 - \$15,000	V
		Och-Ziff Capital Management Group LLC Class A Shares representing Class A limited liability company interests (OZM) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	S (partial) 5 Delaware Trust	08/22/2018	09/01/2018	\$1,001 - \$15,000	
		Och-Ziff Capital Management Group LLC Class A Shares	S (partial)	08/23/2018	09/01/2018	\$15,001 - \$50,000	П

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		representing Class A limited liability company interests (OZM) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	5 Delaware Trust				
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		Och-Ziff Capital Management Group LLC Class A Shares representing Class A limited liability company interests (OZM) [ST] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	S 5 Delaware Trust	08/24/2018	09/01/2018	\$15,001 - \$50,000	
		Provo City Utah WasteWater Rev Rev Bds 2015A 5% 2/1/23 [GS] FILING STATUS: New SUBHOLDING OF: Patrick Rooney Sr 2015	P 5 Delaware Trust	08/28/2018	09/01/2018	\$15,001 - \$50,000	П

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Patrick Rooney Sr 2015 Delaware Trust
Description: 12.5% contingent beneficial interest - Owns cash and marketable securities, reported on Schedule A at full value

INITIAL PUBLIC OFFERINGS



CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas J. Rooney, 09/14/2018