



Filing ID #20010256

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Scott H. Peters

Status: Member

State/District: CA52

TRANSACTIONS

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|---|------------------|------------|-------------------|-------------------------|--------------------------|
| | Austin TX Water Bonds [GS] FILING STATUS: New | S | 08/02/2018 | 08/31/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | Bay Area Toll RV Toll Tran [GS] FILING STATUS: New | S | 08/07/2018 | 08/31/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | CA State Dept. Water Resources [GS] FILING STATUS: New | S (partial) | 08/02/2018 | 08/31/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | Los Angeles Cty, CA Mt [GS] FILING STATUS: New | S | 08/02/2018 | 08/31/2018 | \$100,001 - \$250,000 | <input type="checkbox"/> |
| | Metropolitan Water District of Southern CA Bonds [GS] FILING STATUS: New | S (partial) | 08/02/2018 | 08/31/2018 | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| | Orange CA WTR CO WTR COPS [GS] FILING STATUS: New | S | 08/07/2018 | 08/31/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | Poway, CA Unified Sch. Dist. Bonds [GS] FILING STATUS: New | S | 08/02/2018 | 08/31/2018 | \$500,001 - \$1,000,000 | <input type="checkbox"/> |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|---|-------|------------------|------------|-------------------|----------------------------|--------------------------|
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/01/2018 | 08/31/2018 | \$50,001 - \$100,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/03/2018 | 08/31/2018 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/07/2018 | 08/31/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/09/2018 | 08/31/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/10/2018 | 08/31/2018 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Rand Capital Corporation - Common Stock (RAND) [ST] | | S (partial) | 08/14/2018 | 08/31/2018 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| | San Diego County , CA Bonds [GS] | | S | 08/07/2018 | 08/31/2018 | \$250,001 - \$500,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |
| SP | Univ CA Pub Educ [GS] | | P | 08/07/2018 | 08/31/2018 | Spouse/DC Over \$1,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New | | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott H. Peters , 09/14/2018