



Filing ID #20010254

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|------------------|------------|-------------------|--------------------|--------------------------|
| SP | | Dover Corporation (DOV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 08/29/2018 | 09/05/2018 | \$346.92 | <input type="checkbox"/> |
| SP | | HCA Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Interest rate is 6.5%, Maturity date is 2/15/2020. | P | 08/29/2018 | 09/05/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SP | | Red Hat, Inc. (RHT) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 08/29/2018 | 09/05/2018 | \$444.60 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/09/2018