



Filing ID #20010238

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. David A. Trott

**Status:** Member

**State/District:** MI11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		CHIPPEWA VALLEY MICH SCHS GO 5.0000% 05/01/20 MN - MUNI BOND [GS]	P	08/30/2018	08/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New					
		CREDIT SUISSE AG LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER 0% STRUCTURED NOTE DUE 11/26/2019 [CS]	P	08/13/2018	08/14/2018	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC					
		DESCRIPTION: Structured Note					
		OHIO STATE GO 5.0000% 08/01/24 FA - MUNI BOND [GS]	P	08/30/2018	08/31/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
		PUT/XSP @ 275 EXP 09/07/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	08/01/2018	08/02/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
		LOCATION: US					
		DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		PUT/XSP @ 276 EXP 10/19/2018 - S (partial) CBOE MINI SPX INDEX [OT]		08/22/2018	08/23/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
		PUT/XSP @ 281 EXP 09/14/2018 S (partial) - CBOE MINI SPX INDEX [OT]		08/08/2018	08/09/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
		PUT/XSP @ 285 EXP 10/05/2018 S (partial) - CBOE MINI SPX INDEX [OT]		08/29/2018	08/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
		SOCIETE GENERALE LINKED TO P S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 06/23/2020 [CS]		08/29/2018	08/30/2018	\$250,001 - \$500,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note					
		TEXAS ST UNIV SYS FING REV S REV 5% 03/15/23 MS - MUNI BOND [GS]		08/30/2018	08/31/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
		TORONTO DOMINION BANK P LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 06/03/2020 [CS]		08/31/2018	09/01/2018	\$250,001 - \$500,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note					
	Williams Companies, Inc. (WMB) [ST]	E	08/10/2018	08/11/2018	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Acquisition by The Williams Companies, Inc. (WMB) of the outstanding public common units of Williams Partners LP (WPZ).					
	Williams Partners, L.P. Common Units Representing Limited Partner Interests (WPZ) [ST]	E	08/10/2018	08/11/2018	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Acquisition by The Williams Companies, Inc. (WMB) of the outstanding public common units of Williams Partners LP (WPZ).					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David A. Trott , 09/07/2018