



Filing ID #20010196

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Autodesk, Inc. (ADSK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	08/27/2018	08/31/2018	\$309.77	<input type="checkbox"/>
SP		Autodesk, Inc. (ADSK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	08/27/2018	08/31/2018	\$309.77	<input type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	08/20/2018	08/24/2018	\$405.84	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/01/2018