

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Autodesk, Inc. (ADSK) [ST] Filing Status: New Subholding Of: Neuberger Berman - T	S (partial) raditional IRA	08/27/2018	08/31/2018	\$1,001 - \$15,000	
	SP	Autodesk, Inc. (ADSK) [ST] Filing Status: New Subholding Of: Neuberger Berman - T	S (partial) raditional IRA	08/27/2018	08/31/2018	\$774.44	Γ
	SP	Autodesk, Inc. (ADSK) [ST] Filing Status: New Subholding Of: Neuberger Berman - T	S raditional IRA	08/27/2018	08/31/2018	\$1,001 - \$15,000	
	SP	Sunrun Inc. (RUN) [ST] Filing Status: New Subholding Of: Neuberger Berman - T	P raditional IRA	08/20/2018	08/24/2018	\$1,001 - \$15,000	Π

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/01/2018