



Filing ID #20010136

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Ares Cap Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 1/15/2020. Interest rate is 3.875%	P	08/13/2018	08/17/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Nielsen Fin LLC [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 10/01/2020. Interest rate is 4.5%	P	08/15/2018	08/20/2018	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 08/21/2018