



Filing ID #20010111

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	AT&T Inc [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 2/17/2021, interest rate is 2.8%.	P	08/09/2018	08/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Ball Corp [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 12/15/2020. Interest rate is 4.375%	P	08/08/2018	08/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Bank American Corp Bond [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 6/17/19, interest rate is 5.125%.	P	08/08/2018	08/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	CBS Outdoor Amers [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 2/15/2022, interest rate is 5.25%.	P	08/08/2018	08/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 08/15/2018