# Periodic Transaction Report

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# FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Analog Devices, Inc. (ADI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	08/10/2018	08/14/2018	\$1,001 - \$15,000	
	SP	Ball Corp [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - To DESCRIPTION: Maturity date is 12/15/20		08/08/2018 4-375%	08/14/2018	\$15,001 - \$50,000	П

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

# ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 08/15/2018