



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
2000041541	SP Brookfield Infrastructure Partners LP Limited Partnership Units (BIP) [ST] FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	08/01/2018	08/08/2018	\$1,001 - \$15,000	<input type="checkbox"/>
2000041538	SP Phillips 66 (PSX) [ST] FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Individual	S	07/30/2018	08/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
2000041539	SP Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST] FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Individual	S	07/30/2018	08/06/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 08/15/2018