



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		American Express [CS]	P	07/31/2018	08/07/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 8/3/2023, interest rate is 3.7%					
SP		Brookfield Infrastructure Partners LP Limited Partnership Units (BIP) [ST]	S	08/01/2018	08/08/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Phillips 66 (PSX) [ST]	S	07/30/2018	08/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST]	S	07/30/2018	08/06/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 08/14/2018