

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	American Express [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: Maturity date is 8/3/2025		.,	08/07/2018	\$15,001 - \$50,000	П
	SP	Equifax, Inc. (EFX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	07/31/2018	08/07/2018	\$1,001 - \$15,000	П
	SP	Equifax, Inc. (EFX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	07/30/2018	08/06/2018	\$1,001 - \$15,000	П
	SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	07/24/2018	07/31/2018	\$1,001 - \$15,000	П
	SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	07/23/2018	07/30/2018	\$1,001 - \$15,000	П
	SP	WEX Inc. common stock (WEX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	07/23/2018	07/30/2018	\$1,001 - \$15,000	Г

* For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 08/14/2018