



Filing ID #20009965

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	07/19/2018	07/26/2018	\$464.74	<input type="checkbox"/>
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	07/20/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	07/23/2018	07/26/2018	\$333.37	<input type="checkbox"/>
SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	07/24/2018	07/26/2018	\$333.01	<input type="checkbox"/>
SP	Keurig Dr Pepper Inc. (KDP) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	07/11/2018	07/17/2018	\$240.15	<input type="checkbox"/>
SP	Red Hat, Inc. (RHT) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	07/20/2018	07/26/2018	\$592.08	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		WEX Inc. common stock (WEX) [ST]	S	07/23/2018	07/26/2018	\$589.10	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 07/27/2018