



Filing ID #20009963

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/19/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	07/20/2018	07/26/2018	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/23/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Kansas City Southern (KSU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	07/24/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Keurig Dr Pepper Inc. (KDP) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	07/11/2018	07/17/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Red Hat, Inc. (RHT) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	07/20/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		WEX Inc. common stock (WEX) [ST]	S	07/23/2018	07/26/2018	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Neuberger Berman - Traditional IRA					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 07/27/2018