



Filing ID #20009644

# PERIODIC TRANSACTION REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. David A. Trott**Status:** Member**State/District:** MI11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		ALBUQUERQUE N MEX MUN SCH DIST GO 5% 08/01/25 FA NO 012 GO SCH BLDG BDS 2018 UT BEO - MUNI BOND [GS]  FILING STATUS: New	P	05/09/2018	05/10/2018	\$50,001 - \$100,000	<input type="checkbox"/>
		ANCHORAGE ALASKA GO 5% 09/01/24 MS GO BDS D UT BEO - MUNI BOND [GS]  FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC	P	05/14/2018	05/15/2018	\$100,001 - \$250,000	<input type="checkbox"/>
		CITY OF AUSTIN, TEXAS REV 5.0000% 11/15/25 MN TRAVIS WILLIAMSON AND HAYS CO WTR/WSTEWTR SYS REF SER 2017 - MUNI BOND [GS]  FILING STATUS: New	P	05/07/2018	05/08/2018	\$50,001 - \$100,000	<input type="checkbox"/>
		GRAND RAPIDS MICH SAN SWR SYS REV 5% 01/01/24 JJ REV BDS 2018 BEO - MUNI BOND [GS]  FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC	P	05/24/2018	05/25/2018	\$100,001 - \$250,000	<input type="checkbox"/>
		MILWAUKEE WIS GO 5% 04/01/24 AO GO PROM NTS 2018 N4 UT BEO - MUNI BOND [GS]	P	05/04/2018	05/05/2018	\$50,001 - \$100,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	MILWAUKEE WIS GO 5% 04/01/24 AO GO PROM NTS 2018 N4 UT BEO - MUNI BOND [GS]	P	05/04/2018	05/05/2018	\$500,001 - \$1,000,000	
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
	MILWAUKEE WIS GO 5% 04/01/24 AO GO PROM NTS 2018 N4 UT BEO - MUNI BOND [GS]	P	05/04/2018	05/05/2018	\$100,001 - \$250,000	
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
	PLANO TEX GO 5% 09/01/24 MS GO BDS 2018 LT BEO - MUNI BOND [GS]	P	05/03/2018	05/04/2018	\$50,001 - \$100,000	
	FILING STATUS: New					
	PLANO TEX GO 5% 09/01/24 MS GO BDS 2018 LT BEO - MUNI BOND [GS]	P	05/03/2018	05/04/2018	\$250,001 - \$500,000	
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
	PLANO TEX GO 5% 09/01/24 MS GO BDS 2018 LT BEO - MUNI BOND [GS]	P	05/03/2018	05/04/2018	\$15,001 - \$50,000	
	FILING STATUS: New					
	PLANO TEX GO 5% 09/01/24 MS GO BDS 2018 LT BEO - MUNI BOND [GS]	P	05/03/2018	05/04/2018	\$500,001 - \$1,000,000	
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC					
	PUT/XSP @ 262 EXP - CBOE MINI SPX INDEX [OT]	S (partial)	05/30/2018	05/31/2018	\$1,001 - \$15,000	
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	PUT/XSP @ 263 EXP 06/29/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	05/15/2018	05/16/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
	PUT/XSP @ 265 EXP 06/22/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	05/23/2018	05/24/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
	THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER 0% STRUCTURED NOTE DUE 07/08/2020 [CS]	P	05/01/2018	05/02/2018	\$250,001 - \$500,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note					
	TRUCKEE MEADOWS NEV WTR AUTH REV 5% 07/01/25 JJ WTR REV REF BDS 2015A BEO - MUNI BOND [GS]	P	05/14/2018	05/15/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE

SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David A. Trott , 06/05/2018