# Periodic Transaction Report

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Charter Communications, Inc Class A (CHTR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	S (partial) neficiary IRA	04/25/2018	05/01/2018	\$299.14	
	SP	Equifax, Inc. (EFX) [ST]  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Be	P neficiary IRA	04/26/2018	05/02/2018	\$231.65	П
	SP	Sempra Energy (SRE) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	P neficiary IRA	04/27/2018	05/02/2018	\$1,001 - \$15,000	

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

## ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS



#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/03/2018