



Filing ID #20009420

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David A. Trott

Status: Member

State/District: MI11

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Goldman Sachs Renewable Power LLC [OL]	P	04/02/2018	04/03/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: New York, NY, US DESCRIPTION: LLC taxed as a Corporation.					
	OAKRIDGE MICH PUB SCHS GO 5% 05/01/24 MN - MUNI BOND [GS]	P	04/03/2018	04/04/2018	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC					
	PUT/XSP @ 255 EXP 06/01/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	04/20/2018	04/21/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.					
	PUT/XSP @ 258 EXP 07/20/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	04/11/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.							
		PUT/XSP @ 262 EXP 05/25/2018 - CBOE MINI SPX INDEX [OT]	S (partial)	04/17/2018	04/18/2018	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.							
		PUT/XSP @ 271 EXP 04/13/2018 - CBOE MINI SPX INDEX [OT]	P	04/11/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC LOCATION: US DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.							
		THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 07/08/2020 [CS]	P	04/05/2018	04/06/2018	\$100,001 - \$250,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC DESCRIPTION: Structured Note							
		TORONTO DOMINION BANK LINKED TO S&P 500 INDEX BUFFERED CAPPED BETA 0% STRUCTURED NOTE DUE 02/06/2020 [CS]	P	04/04/2018	04/05/2018	\$250,001 - \$500,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note							
		TROTT-ATA LLC (INVESTMENT HOLDING CO.) [OI]	P	04/06/2018	04/07/2018	\$500,001 - \$1,000,000	<input type="checkbox"/>
FILING STATUS: New DESCRIPTION: Purchase of Membership Interest in Trott-ATA LLC							
		WASHINGTON ST VAR PURP GO BDS DTD 01/27/10 05.000% DUE 02/01/22 RNFD 02/01/20 - MUNI BOND [GS]	P	04/09/2018	04/10/2018	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?
FILING STATUS: New				

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
 LOCATION: US
 - Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)
 LOCATION: US
 - Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
 LOCATION: US
 - Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)
 LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes
 ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David A. Trott , 05/04/2018