



Filing ID #20009183

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	SP Actavis Fdg FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: The bond matured long.	S	03/12/2018	03/20/2018	\$1,001 - \$15,000
2000037125	SP Constellation Brands FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 1/17/2019, interest rate is 2.0%	P	03/16/2018	03/20/2018	\$15,001 - \$50,000
2000037124	SP Level 3 Fing FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 1/15/2021, interest rate is 6.125%	P	03/14/2018	03/20/2018	\$1,001 - \$15,000
2000037128	SP Zimmer Biomet Hldgs inc FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Maturity date is 3/19/2021, interest rate is 2.92%	P	03/8/2018	03/15/2018	\$1,001 - \$15,000
2000037122	SP Zimmer Biomet Hldgs Inc FILING STATUS: Amended SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 3/19/2021, interest rate is 2.92%	P	03/8/2018	03/15/2018	\$15,001 - \$50,000

ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)
- Neuberger Berman - Individual (Owner: SP)
LOCATION: US
- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/21/2018