



Filing ID #20009180

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		CVS Health Corp FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Maturity date is 3/9/2020, interest rate is 3.12%	P	03/6/2018	03/13/2018	\$1,001 - \$15,000
SP		Equifax, Inc. (EFX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/15/2018	03/20/2018	\$985.93
SP		Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	03/1/2018	03/8/2018	\$746.43
SP		Siemens AG American Depositary Shares (SIEGY) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	03/19/2018	03/20/2018	\$1,001 - \$15,000
SP		Zimmer Biomet Hldgs inc FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Maturity date is 3/19/2021	P	03/8/2018	03/15/2018	\$1,001 - \$15,000

ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/21/2018