



Filing ID #20009179

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Constellation Brands	P	03/14/2018	03/20/2018	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 1/17/2019, interest rate is 2.0%				
SP		Equifax, Inc. (EFX)	P	03/9/2018	03/19/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		Equifax, Inc. (EFX)	P	02/27/2018	03/6/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		Equifax, Inc. (EFX)	P	02/28/2018	03/7/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		Level 3 Fing	P	03/16/2018	03/20/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 1/15/2021				
SP		Oracle Corporation (ORCL)	S	03/1/2018	03/8/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		UnitedHealth Group Inc	P	03/7/2018	03/14/2018	\$15,001 - \$50,000
		FILING STATUS: New				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 7/15/2020, interest rate is 2.7%				
	SP	Zimmer Biomet Hldgs Inc	P	03/8/2018	03/15/2018	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 3/19/2021, interest rate is				

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/20/2018