



Filing ID #20009119

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu

**Status:** Member

**State/District:** CA27

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SPX MAR 16 2150 PUT	P	02/5/2018	02/5/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPX MAR 16 2350 PUT	P	02/5/2018	02/5/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		TLT FEB 16 118 PUT	P	02/14/2018	02/14/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		TLT FEB 16 188 PUT	S	02/2/2018	02/2/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		TLT FEB 2 120 PUT	P	02/2/2018	02/2/2018	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	TLT FEB 23 117.5	P	02/22/2018	02/22/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT FEB 23 117.5 PUT	S	02/9/2018	02/9/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT FEB 9 119 PUT	P	02/9/2018	02/9/2018	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT FEB 9 119.5 PUT	P	02/8/2018	02/8/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT FEB 9 199 PUT	S	02/7/2018	02/7/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT FEB 9 PUT	P	02/7/2018	02/7/2018	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT MAR 02 118 PUT	S	02/8/2018	02/8/2018	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT MAR 2 116.5 PUT	S	02/14/2018	02/14/2018	\$1,001 - \$15,000
	FILING STATUS: New				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
	TLT MAR 9 116 PUT  FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	02/22/2018	02/22/2018	\$15,001 - \$50,000

ASSET CLASS DETAILS

o TD Ameritrade Investment Account LOCATION: US
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INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 03/16/2018