



Filing ID #20008880

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount |
|----|--|------------------|------------|-------------------|--------------------|
| SP | Boston Scientific Corporation (BSX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 01/22/2018 | 01/29/2018 | \$962.57 |
| SP | Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 01/29/2018 | 02/4/2018 | \$1,001 - \$15,000 |
| SP | Gates Industrial Corporation plc Ordinary Shares (GTES) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 01/30/2018 | 02/4/2018 | \$781.64 |
| SP | Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S | 01/24/2018 | 01/30/2018 | \$1,001 - \$15,000 |
| SP | Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S | 01/30/2018 | 02/4/2018 | \$766.25 |

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 02/4/2018