



Filing ID #20008879

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	Citigroup Inc FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 2/29/2019, interest rate is 2.5%	P	01/25/2018	01/31/2018	\$15,001 - \$50,000
SP	Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/29/2018	02/4/2018	\$15,001 - \$50,000
SP	Fidelity National Inform FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Maturity date is 8/15/2021, interest rate is 2.25%	P	01/23/2018	01/30/2018	\$15,001 - \$50,000
SP	Gates Industrial Corporation plc Ordinary Shares (GTES) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/25/2018	01/31/2018	\$15,001 - \$50,000
SP	Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/24/2018	01/30/2018	\$1,001 - \$15,000
SP	Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/30/2018	02/4/2018	\$1,001 - \$15,000

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	SP	Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/30/2018	02/4/2018	\$1,001 - \$15,000
	SP	Priceline Group FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 3/15/2023, interest rate is 2.75%	P	01/24/2018	01/31/2018	\$1,001 - \$15,000

ASSET CLASS DETAILS

- Neuberger Berman - Individual (Owner: SP)
LOCATION: US
- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 02/4/2018