



Filing ID #20008780

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Level 3 Fing Inc	P	01/19/2018	01/21/2018	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: Neuberger Berman - Beneficiary IRA						
DESCRIPTION: Maturity date is 1/15/2021, interest rate is 6.125%						

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 01/21/2018