



Filing ID #20008716

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	Apple Inc. (AAPL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/2/2018	01/9/2018	\$512.23
SP	Aptiv PLC Ordinary Shares (APTV) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	01/5/2018	01/9/2018	\$1,001 - \$15,000
SP	Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/8/2018	01/9/2018	\$111.52
SP	BlackRock, Inc. (BLK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/2/2018	01/9/2018	\$1,001 - \$15,000
SP	Celgene Corporation (CELG) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/8/2018	01/9/2018	\$838.78
SP	Cheniere Energy, Inc. (LNG) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/5/2018	01/9/2018	\$1,001 - \$15,000
SP	Expedia, Inc. (EXPE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/8/2018	01/9/2018	\$1,001 - \$15,000

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SP		Mastercard Incorporated (MA) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/2/2018	01/9/2018	\$152.12
SP		Merck & Company, Inc. Common Stock (MRK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/8/2018	01/9/2018	\$567.58
SP		Phillips 66 (PSX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/5/2018	01/9/2018	\$1,001 - \$15,000
SP		Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/5/2018	01/9/2018	\$1,001 - \$15,000
SP		Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	01/8/2018	01/9/2018	\$1,001 - \$15,000

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 01/9/2018