



Filing ID #20008705

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	Apple Inc. (AAPL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/2/2018	01/8/2018	\$1,001 - \$15,000
SP	Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/8/2018	01/9/2018	\$1,001 - \$15,000
SP	Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/8/2018	01/9/2018	\$1,001 - \$15,000
SP	BlackRock, Inc. (BLK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/2/2018	01/8/2018	\$15,001 - \$50,000
SP	Brookfield Infrastructure Partners LP Limited Partnership Units (BIP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/2/2018	01/8/2018	\$1,001 - \$15,000
SP	Charter Communications, Inc. - Class A (CHTR) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/8/2018	01/9/2018	\$1,001 - \$15,000
SP	Charter Communications, Inc. - Class A (CHTR)	S	01/8/2018	01/9/2018	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Charter Communications, Inc. - Class A (CHTR)	S	01/8/2018	01/9/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Delphi Technologies PLC Ordinary Shares (DLPH)	S	01/5/2018	01/9/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Mastercard Incorporated (MA)	S	01/2/2018	01/8/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	UnitedHealth Group Incorporated Common Stock (UNH)	S	01/2/2018	01/8/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Vornado Realty	S	12/27/2017	01/9/2018	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: This was a "call of a bond". Interest 2.5% Maturity date 6/30/19				

ASSET CLASS DETAILS

- Neuberger Berman - Individual (Owner: SP)
LOCATION: US
- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 01/9/2018