



Filing ID #20008658

# PERIODIC TRANSACTION REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. K. Michael Conaway**Status:** Member**State/District:** TX11

## TRANSACTIONS

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount               |
|----|-------|---|------------------|------------|-------------------|----------------------|
| SP |       | Coca-Cola Company (KO)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | S                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |
| SP |       | Diageo plc (DEO)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | P                | 12/12/2017 | 12/14/2017        | \$1,001 - \$15,000   |
| SP |       | Diageo plc (DEO)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | P                | 12/11/2017 | 12/13/2017        | \$1,001 - \$15,000   |
| SP |       | Diageo plc (DEO)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | P                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |
| SP |       | Philip Morris International Inc (PM)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | S                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |
| SP |       | R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19<br>FILING STATUS: New<br>SUBHOLDING OF: Trust M69 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19 | P                | 12/21/2017 | 12/29/2017        | \$15,001 - \$50,000  |
| SP |       | R2000 CLIRN ISSUER BAC CAP 17.92%   | P                | 12/1/2017  | 12/5/2017         | \$50,001 - \$100,000 |

| ID | Owner Asset  | Transaction Type | Date       | Notification Date | Amount               |
|----|--|------------------|------------|-------------------|----------------------|
|    | SV 1,334.341 DUE 11/21/18<br>FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18   |                  |            |                   |                      |
| SP | Simon Property Group, Inc. (SPG)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)  | S                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |
| SP | SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18<br>FILING STATUS: New<br>SUBHOLDING OF: SP M40 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18                 | S                | 12/1/2017  | 12/5/2017         | \$50,001 - \$100,000 |
| SP | SP500 CLIRNS ISSUER BNS CAP 16.40% SV 2,038.97 DUE 12/22/17<br>FILING STATUS: New<br>SUBHOLDING OF: Trust M69 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SP500 CLIRNS ISSUER BNS CAP 16.40% SV 2,038.97 DUE 12/22/17 Redemption | S                | 12/22/2017 | 12/22/2017        | \$15,001 - \$50,000  |
| SP | SX5E STEPUP ISSUER CS STEP 30.00% SV 3,579.32 DUE 11/27/20<br>FILING STATUS: New<br>SUBHOLDING OF: SP M36 (Merrill Lynch)<br>LOCATION: US<br>DESCRIPTION: SX5E STEPUP ISSUER CS STEP 30.00% SV 3,579.32 DUE 11/27/20                 | P                | 11/21/2017 | 12/1/2017         | \$15,001 - \$50,000  |
| SP | UnitedHealth Group Incorporated Common Stock (UNH)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)  | P                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |
| SP | Wal-Mart Stores, Inc. (WMT)<br>FILING STATUS: New<br>SUBHOLDING OF: SP M81 (Merrill Lynch)   | S                | 12/8/2017  | 12/12/2017        | \$1,001 - \$15,000   |

ASSET CLASS DETAILS

|   |
|---|
| <ul style="list-style-type: none"> <li>SP M36 (Merrill Lynch) (Owner: SP)<br/>LOCATION: US</li> </ul> |
|---|

- SP M40 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)  
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 01/4/2018