



Filing ID #20008567

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Analog Devices, Inc. (ADI) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	12/4/2017	12/11/2017	\$1,001 - \$15,000
SP		Aptiv PLC Ordinary Shares (APTV) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	12/8/2017	12/14/2017	\$1,001 - \$15,000
SP		Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/30/2017	12/7/2017	\$1,001 - \$15,000
SP		Delphi Technologies PLC Ordinary Shares (DLPH) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	12/4/2017	12/11/2017	\$15,001 - \$50,000
SP		Siemens AG American Depositary Shares (SIEGY) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	12/6/2017	12/14/2017	\$15,001 - \$50,000

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 12/14/2017