



Filing ID #20008515

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. David A. Trott**Status:** Member**State/District:** MI11

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	Andeavor Logistics LP Common Units representing Limited Partner Interests (ANDX)  FILING STATUS: New DESCRIPTION: Publicly Traded Master Limited Partnership Interest	S	11/29/2017	11/30/2017	\$15,001 - \$50,000
	BAY CITY MICH SCH DIST GO 5% 11/01/23 MN RFDG UT BEO - MUNI BOND  FILING STATUS: New	P	11/30/2017	12/1/2017	\$15,001 - \$50,000
	GRAND LEDGE MICH PUB SCHS DIST GO 5% 05/01/24 MN GO REF BDS 2015 UT BEO SR LIEN - MUNI BOND  FILING STATUS: New	P	11/7/2017	11/8/2017	\$15,001 - \$50,000
	GRAND VALLEY MICH ST UNIV REV REV 5% 12/01/23 JD GEN BDS 2017 BEO - MUNI BOND  FILING STATUS: New	P	11/16/2017	11/17/2017	\$15,001 - \$50,000
	MANSFIELD INDPT SCHOOL DIST GO 5.0000% 02/15/19 FA RFDG UT BNK QUAL BEO - MUNI BOND  FILING STATUS: New	S	11/14/2017	11/15/2017	\$50,001 - \$100,000
	MASSACHUSETTS ST GO 5% 11/01/24 MN COMMONWEALTH GO REF BDS 2017 E LT BEO - MUNI BOND  FILING STATUS: New	P	11/3/2017	11/4/2017	\$15,001 - \$50,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		<p>NORTH CAROLINA ST LTD OBLIG REV 5% 06/01/24 JD RFDG-SER B BEO - MUNI BOND</p> <p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC</p>	P	11/1/2017	11/2/2017	\$100,001 - \$250,000
		<p>PUT/XSP @ 249 EXP 02/16/2018 - CBOE MINI SPX INDEX</p> <p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>	S	11/9/2017	11/10/2017	\$1,001 - \$15,000
		<p>PUT/XSP @ 250 EXP 01/19/2018 - CBOE MINI SPX INDEX</p> <p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>	S	11/3/2017	11/4/2017	\$1,001 - \$15,000
		<p>PUT/XSP @ 255 EXP 12/22/2017 - CBOE MINI SPX INDEX</p> <p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; not held in an ESOP.</p>	S	11/21/2017	11/22/2017	\$1,001 - \$15,000
		<p>THE BANK OF NOVA SCOTIA LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER 0% STRUCTURED NOTE DUE 03/20/2020</p> <p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. &gt; Trott Family Investment Company LLC</p> <p>DESCRIPTION: Structured Note.</p>	P	11/14/2017	11/15/2017	\$100,001 - \$250,000
		<p>WARREN WOODS MICH PUB SCHS GO 5.0000% 05/01/24 MN RFDG UT BEO - MUNI BOND</p> <p>FILING STATUS: New</p>	P	11/21/2017	11/22/2017	\$50,001 - \$100,000

## ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.  
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David A. Trott , 12/6/2017