

# PERIODIC TRANSACTION REPORT

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#### FILER INFORMATION

Name: Hon. Lamar Smith

Status: Member State/District: TX21

#### **TRANSACTIONS**

| ID | Owner | Asset   | Transaction<br>Type | Date       | Notification<br>Date | Amount             |
|----|-------|---|---------------------|------------|----------------------|--------------------|
|    |       | Align Technology, Inc. (ALGN)  FILING STATUS: New  SUBHOLDING OF: MERRILL LYNCH INVESTM   | S IENT ACCOUNTS     | 11/15/2017 | 12/4/2017            | \$1,001 - \$15,000 |
|    |       | Edwards Lifesciences Corporation (EW) FILING STATUS: New SUBHOLDING OF: MERRILL LYNCH INVESTM   |                     | 11/1/2017  | 12/4/2017            | \$1,001 - \$15,000 |
|    |       | Estee Lauder Companies, Inc. (EL) FILING STATUS: New SUBHOLDING OF: MERRILL LYNCH INVESTM   | P IENT ACCOUNTS     | 11/1/2017  | 12/4/2017            | \$1,001 - \$15,000 |
|    |       | Live Nation Entertainment, Inc. (LYV) FILING STATUS: New SUBHOLDING OF: MERRILL LYNCH INVESTM   | P  IENT ACCOUNTS    | 11/8/2017  | 12/4/2017            | \$1,001 - \$15,000 |
|    |       | Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG)  FILING STATUS: New SUBHOLDING OF: MERRILL LYNCH INVESTM |                     | 11/8/2017  | 12/4/2017            | \$1,001 - \$15,000 |

#### ASSET CLASS DETAILS

• MERRILL LYNCH INVESTMENT ACCOUNTS

LOCATION: US

### INITIAL PUBLIC OFFERINGS

C Yes No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lamar Smith, 12/11/2017