

# PERIODIC TRANSACTION REPORT

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Expedia, Inc. (EXPE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S mal IRA	11/9/2017	11/15/2017	\$1,001 - \$15,000
	SP	Expedia, Inc. (EXPE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S onal IRA	11/10/2017	11/16/2017	\$1,001 - \$15,000
	SP	Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S mal IRA	11/9/2017	11/15/2017	\$1,001 - \$15,000
	SP	Martin Marietta Materials, Inc. (MLM) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	P mal IRA	11/14/2017	11/21/2017	\$1,001 - \$15,000
	SP	Martin Marietta Materials, Inc. (MLM) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	P mal IRA	11/15/2017	11/21/2017	\$1,001 - \$15,000
	SP	Merck & Company, Inc. Common Stock (MRK)  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition		11/8/2017	11/14/2017	\$15,001 - \$50,000
	SP	Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S onal IRA	11/9/2017	11/15/2017	\$15,001 - \$50,000

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#### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS

C Yes No

## CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$  I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 11/22/2017