



Filing ID #20008346

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Celgene Corporation (CELG)	S	11/2/2017	11/6/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		Charter Communications, Inc. - Class A (CHTR)	P	10/26/2017	11/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP		Starbucks Corporation (SBUX)	S	10/25/2017	11/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 11/6/2017